



Section 101

PATENT  
Customer No. 22,852  
Attorney Docket No. 7451.0034-00  
InterTrust Ref. No.: IT-36.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Stephen P. Weeks, et al. ) Group Art Unit: 2131  
Serial No.: 09/863,199 / ) Examiner: Not Yet Assigned  
Filed: May 21, 2001 )  
For: TRUST MANAGEMENT )  
SYSTEMS AND METHODS )

Attention: **BOX MISSING PARTS**  
Commissioner for Patents and Trademarks  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application mailed July 25, 2001, Applicants submit a Declaration and Power of Attorney for filing in this application and a copy of the Notice of Missing Parts of Nonprovisional Application.

Applicants also submit an executed Assignment and Assignment Recordation Form Cover Sheet.

We enclose our check in the amount of \$2,518, which includes the application fee of \$740.00, the additional claims fee of \$168.00, the surcharge fee of \$130.00, an Assignment recordation fee of \$40.00 and an extension of time fee of \$1,440.00.

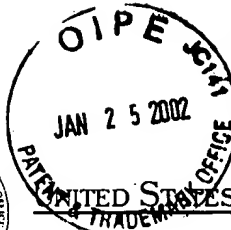
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Karna J. Nisewaner  
Reg. No. P50,665

Dated: January 24, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,199	05/21/2001	Stephen P. Weeks	7451.0034-00

CONFIRMATION NO. 8932

## FORMALITIES LETTER



\*OC000000006338072\*

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 07/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$160.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1000.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

JUL 26 2001

01/29/2002 CCHAU1 00000151 09863199

01 FC:101	740.00 OP
02 FC:102	168.00 OP
03 FC:105	130.00 OP

FINNEGAN, HENDERSON,  
FARABOW, GARRETT & DUNNER, L.L.P.